

210313US-2SRD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

AKIRA NISHIYAMA ET AL.

SERIAL NO.: 09/891,129

FILED: JUNE 26, 2001

FOR: SEMICONDUCTOR DEVICE HAVING  
AN INSULATING FILM...

:

: GROUP ART UNIT: 2814

:

: EXAMINER: MAI, A.

#1510  
filed  
4/24/03  
enter by  
C. Moore  
6.23.03

TECHNOLOGY CENTER 2800

MAR 25 2003

RECEIVED

AMENDMENT UNDER 37 C.F.R. § 1.116

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

In response to the Office Action of January 14, 2003, please amend the above-identified application as follows:

IN THE CLAIMS

Please amend Claims 4 and 17 to read as follows:<sup>1</sup>

B1 4. (Twice Amended) The semiconductor device according to claim 2, wherein said nano-crystals are made of an oxide.

B2 17. (Amended) The semiconductor device according to claim 16, wherein said silicon compound contains silicon oxide.

<sup>1</sup> A marked-up copy of the amendments is attached hereto.

Docket No. 210313US-2SRD

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ASSISTANT COMMISSIONER FOR PATENTS  
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"RESPONSE UNDER 37 CFR 1.116-  
EXPEDITED PROCEDURE EXAMINING  
GROUP 2814"

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SIR:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- ☒ Additional documents filed herewith: Marked-Up Copy of Amendment, Copy of IDS and PTO Form 1449 filed 10-31-02, along with a copy of the date-stamped filing receipt, Letter to the Official Draftsman, Formal Drawings (10 sheets)

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS	
TOTAL	23	MINUS	23	0	× \$18 =	\$0.00	
INDEPENDENT	3	MINUS	3	0	× \$84 =	\$0.00	
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00	
		TOTAL OF ABOVE CALCULATIONS					\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity					\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00	
		TOTAL					\$0.00

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,  
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